Practitioner's Docket No. ARC 2914R1



IN THE UNITED STATES PATENTS ND TRADEMARK OFFICE

In re application of:

Berry, Stephen A.; Fereira, Pamela J.; Dehnad, Houdin; and Muchnik, Anna

Application No.: 09/497,422

Group No.: 1615

TECH CENTER 1600/2900

Filed: February 3, 2000

Examiner: B. Fubara

For:

Stable Non-Aqueous Single Phase Viscous Vehicles and Formulations Utilizing Such Vehicles

Assistant Commissioner for Patents

Washington, D.C. 20231

STATEMENT FOR INFORMATION DISCLOSURE UNDER 37 C.F.R. Section 1.97(e)

IDENTIFICATION OF INFORMATION DISCLOSURE STATEMENT FOR WHICH THIS STATEMENT IS BEING MADE

1. This statement is being made for the Information Disclosure Statement accompanying this statement.

CERTIFICATE OF MAILING/TR	ANSMISSION (37	C.F.R. Section	1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:

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Date: 11/01/00

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Katrina M. Ghafghaichi (type or print name of person certifying)

(Statement for Information Disclosure under 37 C.F.R. Section 1.97(e)--page 1 of 3)

STATEMENT

2. I, the person signing below, state that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. 37 C.F.R. Section 1.97(e)(1).

IDENTIFICATION OF PERSON MAKING THIS STATEMENT

3.	The person making this certification is:
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(a) the practitioner who signs below on the basis of the information in the practitioner's file.

Date: 11/01/00

Pauline Ann Clarke Registration No. 29,783 ALZA Corporation 1900 Charleston Road, P.O. Box 7210 M10-3 Mountain View, CA 94039-7210 U.S.A. (650)-564-5560

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT BEFORE MAILING DATE OF EITHER A FINAL ACTION OR NOTICE OF ALLOWANCE (37 C.F.R. section 1.97(c))

TIME OF TRANSMITTAL OF ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

- 1. The information disclosure statement transmitted herewith is being filed *after* three months of the filing date of this national application or the date of entry of the national stage as set forth in Section 1.491 in an international application or after the mailing date of the first Office action on the merits, whichever event occurred last but *before* the mailing date of either
 - (1) a final action under section 1.113 or
 - (2) a notice of allowance under section 1.311

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. section 1.8(a))

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Date: 11-01-00

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Signature

Katrina M. Ghafghaichi
(type or print name of person certifying)

(Transmittal of Information Disclosure Statement before Mailing Date of Either a Final Action Or Notice of Allowance--page 1

whichever occurs first.

STATEMENT

2. Accompanying this transmittal is a statement as specified in 37 C.F.R. section 1.97(e).

Date: _11/01/00_

Pauline Ann Clarke Registration No. 29,783 ALZA Corporation 1900 Charleston Road, P.O. Box 7210 M10-3 Mountain View, CA 94039-7210 U.S.A. -(650)-564-5560